

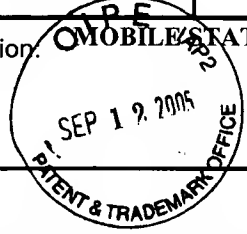
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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Shinji Uebayashi et al.	Docket No. 15689.91
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Application No. 09/998,599	Filing Date 11/16/2001	Examiner Chirag G. Shah	Customer No. 022913	Group Art Unit 2664
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Invention: MOBILE STATION, BASE STATION AND COMMUNICATION METHOD



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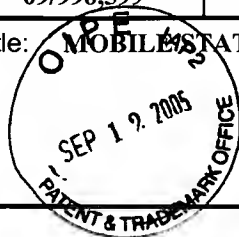
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
15689.91

In Re Application Of: Shinji Uebayashi, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/998,599	11/16/2001	Chirag G. Shah	022913	2664	4705

Title: MOBILE STATION, BASE STATION AND COMMUNICATION METHOD



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**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.91

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09/998,599	11/16/2001	Chirag G. Shah	022913	2664	4705

Title: MOBILE STATION, BASE STATION AND COMMUNICATION METHOD



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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: September 12, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Shinji Uebayashi et al.

) Art Unit  
) 2664

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Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 2000-175244 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 08-130766 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: WO 00/51392 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 2000-232394 is provided in the English abstract of that publication.

In accordance with 37 C.F.R. § 1.97(c), a PTO 2038 Credit Card form in the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement.) Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED September 12, 2005.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'A. Lee'.

ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913

AJL: ds  
DS0000004029V001

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>15689.91</b>		Application Number <b>09/998,599</b>	
				Applicant(s) <b>Shinji Uebayashi, et al.</b>			
				Filing Date <b>11/16/2001</b>		Group Art Unit <b>2664</b>	

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	2000-175244	6/23/2000	Japan	H04Q7	22	✓	
	1	08-130766	5/21/1996	Japan	H04Q7	36	✓	
	3	WO 00/51392	8/31/2000	Japan	H04Q7	38	✓	
	4	2000-232394	8/22/2000	Japan	H04B1	707	✓	

OTHER DOCUMENTS		(Including Author, Title, Date, Pertinent Pages, Etc.)
	5	Official Notice of Rejection Patent Application No.: 2000-351886 Case No.: DCMH120310

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.